

ON STRONG LOCAL ALIGNMENT IN THE KINETIC CUCKER-SMALE MODEL

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ABSTRACT. In the recent papers [4, 5] the authors study the existence of weak solutions and the hydrodynamic limit of kinetic flocking equations with strong local alignment. The introduction of a strong local alignment term to model flocking behavior was formally motivated in these papers as a limiting case of an alignment term proposed by Motsch and Tadmor [6]. In this paper, we rigorously justify this limit, and show that the equation considered in [4, 5] is indeed a limit of the Motsch-Tadmor model when the radius of interaction goes to zero. The analysis involves velocity averaging lemmas and several L^p estimates.

1. INTRODUCTION

In [6] Motsch and Tadmor identify an undesirable feature of the widely studied Cucker-Smale flocking model (cf. [1, 2, 3]): In the Cucker-Smale model, the alignment of each individual is scaled with the total mass such that the effect of alignment is almost negligible in sparsely populated regions. To avoid this, they propose a new model in which the alignment term is normalized with a local average density instead of the total mass. Motivated by this work, the authors of the present paper proposed in [4] to combine the Cucker-Smale and Motsch-Tadmor models, letting the usual Cucker-Smale alignment term dominate the large scale dynamics and the Motsch-Tadmor term the small scale dynamics. This remedies the aforementioned deficiency while maintaining the large scale dynamics of the Cucker-Smale model. At the mesoscopic level, the proposed model takes the following form

$$f_t + \operatorname{div}_x(vf) - \operatorname{div}_v(f\nabla_x\Psi) + \operatorname{div}_v(fF[f]) + \operatorname{div}_v(fL^r[f]) = 0. \quad (1.1)$$

Here, the unknown is the distribution function $f := f(t, x, v)$. The first alignment term $F[\cdot]$ is the standard Cucker-Smale alignment term given by

$$F[f(x, v)] = \int_{\mathbb{R}^{2d}} \Phi(x - y) f(y, w) (w - v) \, dw dy, \quad (1.2)$$

where $\Phi(x)$ is the influence function (e.g. $\Phi(x) = 1/(1+|x|^2)$). The second alignment term $L^r[\cdot]$ is the Motsch-Tadmor alignment term given by (see [6]):

$$L^r[f(x, v)] = \frac{\int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) (w - v) \, dw dy}{\int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) \, dw dy}. \quad (1.3)$$

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where the index r denotes the radius of influence of K^r (see (1.4) below for the definition of K^r). Finally, the function $\Psi(x)$ is a given confinement potential introduced to avoid mass vanishing to infinity (it satisfies $\lim_{|x| \rightarrow \infty} \Psi(x) = \infty$). Note that this term is not necessary if we assume, for instance, that the initial distribution $f(0, x, v)$ has compact support in x and v (since (1.1) will propagate this property).

The only difference between (1.2) and (1.3) is the renormalization by the local average density $\int_{\mathbb{R}^{2d}} K^r(x-y)f(y, w) dw dy$. We can also write L^r as follows:

$$L^r(f) = \tilde{u}^r - v$$

where

$$\tilde{u}^r(x) = \frac{\int_{\mathbb{R}^{2d}} K^r(x-y)wf(y, w) dw dy}{\int_{\mathbb{R}^{2d}} K^r(x-y)f(y, w) dw dy}.$$

In this form, it is obvious that the strength of the alignment force is now independent of the total mass, which was the original intend of [6]. Another effect of this renormalization is to break the symmetry of the alignment. As a consequence, (1.1) does not conserve momentum nor energy, and the derivation of an energy bound will be one of the main difficulty in the analysis of (1.1).

The purpose of this paper is to study the limit $r \rightarrow 0$ in Equation (1.1) when the function K^r converges to the Dirac distribution δ_0 . In other words, we study the limit of (1.1) when the Motsch-Tadmor term $\text{div}_v(fL^r[f])$ becomes a local (in space) alignment term. For the sake of simplicity, we assume that K^r has the form

$$K^r(x) = r^{-d}K\left(\frac{x}{r}\right), \quad (1.4)$$

where K is a given function satisfying

$$K \in C_c(\mathbb{R}^d), \quad K(0) > 0, \quad \int_{\mathbb{R}^d} K^r(x) dx = 1. \quad (1.5)$$

When $r \rightarrow 0$, we then formally expect to have

$$\tilde{u}^r(x) \xrightarrow{r \rightarrow 0} u(x) = \frac{\int_{\mathbb{R}^d} wf(x, w) dw}{\int_{\mathbb{R}^d} f(x, w) dw}$$

and so

$$L^r[f(x, v)] \xrightarrow{r \rightarrow 0} \frac{\int_{\mathbb{R}^d} f(x, w)(w - v) dw}{\int_{\mathbb{R}^d} f(x, w) dw} := u - v. \quad (1.6)$$

Passing to the limit in (1.1), we thus obtain the equation

$$f_t + \text{div}_x(vf) - \text{div}_v(f\nabla_x \Psi) + \text{div}_v(fF[f]) + \text{div}_v(f(u - v)) = 0, \quad (1.7)$$

which is studied in [4, 5]. The new local alignment term can also be seen as a local friction term centered at u .

The purpose of this paper is to rigorously justify this limit $r \rightarrow 0$. More precisely, we will prove the following theorem:

Theorem 1.1. *Let $0 \leq f_0 \in L^1(\mathbb{R}^{2d}) \cap L^\infty(\mathbb{R}^{2d})$ be given and T be a finite final time. For each $r > 0$, let $f^r(t, x, v)$ be a weak solution of (1.1) in the sense that*

$$\begin{aligned} & \int_{\mathbb{R}^{2d+1}} -f^r \phi_t - v f^r \nabla_x \phi + f^r \nabla_x \Psi \nabla_x \phi - f^r F[f^r] \nabla_v \phi dv dx dt \\ & - \int_{\mathbb{R}^{2d+1}} f^r L^r[f^r] \nabla_v \phi dv dx dt = \int_{\mathbb{R}^{2d}} f_0 \phi(0, \cdot) dv dx, \quad \forall \phi \in C_c^\infty([0, T) \times \mathbb{R}^{2d}), \end{aligned} \quad (1.8)$$

where L^r is given by (1.3) and K^r is given by (1.4). Then, as $r \rightarrow 0$,

$$\begin{aligned} f^r &\xrightarrow{*} f \quad \text{in } L^\infty(0, T; L^\infty(\mathbb{R}^{2d}) \cap L^1(\mathbb{R}^{2d})), \\ f^r L^r[f^r] &\rightharpoonup f(u - v) \quad \text{in } L^q((0, T) \times \mathbb{R}^{2d}), \quad q < \frac{d+2}{d+1}, \end{aligned}$$

with $u(t, x)$ such that $j = \rho u$ (see (2.1) for a precise definition). Furthermore, the limit $f(t, x, v)$ is a weak solution of (1.7) in the sense that

$$\begin{aligned} &\int_{\mathbb{R}^{2d+1}} -f\phi_t - v f \nabla_x \phi + f \nabla_x \Psi \nabla_x \phi - f F[f] \nabla_v \phi \, dv dx dt \\ &- \int_{\mathbb{R}^{2d+1}} f(u - v) \nabla_v \phi \, dv dx dt = \int_{\mathbb{R}^{2d}} f^0 \phi(0, \cdot) \, dv dx, \quad \forall \phi \in C_c^\infty([0, T] \times \mathbb{R}^{2d}). \end{aligned} \quad (1.9)$$

2. PRELIMINARY MATERIAL

In this section we have gathered some results that will be needed to prove Theorem 1.1. We begin by introducing some convenient notations. We denote the first and second moments of f , and their K^r weighted counterparts, as follows:

$$\begin{aligned} \varrho(t, x) &= \int_{\mathbb{R}^{2d}} f(y, w) \, dw dy, & \tilde{\varrho}^r(t, x) &= \int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) \, dw dy, \\ j(t, x) &= \int_{\mathbb{R}^{2d}} f(y, w) w \, dy dw, & \tilde{j}^r(t, x) &= \int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) w \, dw dy. \end{aligned}$$

We also define the corresponding velocities

$$u(t, x) = \frac{j(t, x)}{\varrho(t, x)}, \quad \tilde{u}^r(t, x) = \frac{\tilde{j}^r(t, x)}{\tilde{\varrho}^r(t, x)}.$$

Note that the definition of u (and \tilde{u}) is ambiguous if ρ (resp. $\tilde{\varrho}$) vanishes. We thus define u pointwise by

$$u(x, t) = \begin{cases} \frac{j(x, t)}{\rho(x, t)} & \text{if } \rho(x, t) \neq 0 \\ 0 & \text{if } \rho(x, t) = 0 \end{cases}. \quad (2.1)$$

Since we have

$$j \leq \left(\int |v|^2 f(x, v, t) \, dv \right)^{1/2} \rho^{1/2},$$

the bound on the energy of f will imply that $j = 0$ whenever $\rho = 0$ and so (2.1) implies in particular $j = \rho u$.

With the above notation, we have $L^r[f] = \tilde{u}^r - v$, and (1.1) can be written as

$$f_t + \operatorname{div}_x(fv) - \operatorname{div}_v(f \nabla_x \Psi) + \operatorname{div}_v(f F[f]) + \operatorname{div}_v(f(\tilde{u}^r - v)) = 0. \quad (2.2)$$

The following proposition states that (2.2) is well-posed in the sense of weak solutions (see [4] for the proof).

Proposition 2.1. *Assume that $0 \leq f_0 \in [L^\infty \cap L^1](\mathbb{R}^{2d})$ and $T < +\infty$ are given. Then, for any $r > 0$, (2.2) admits a weak solution $0 \leq f \in C(0, T; L^1(\mathbb{R}^{2d}))$. Moreover, f satisfies*

$$\|f\|_{L^\infty(0, T; L^p(\mathbb{R}^{2d}))} \leq e^{\frac{CT}{p}} \|f_0\|_{L^p(\mathbb{R}^{2d})}, \quad (2.3)$$

$$\mathcal{E}(t) := \int_{\mathbb{R}^{2d}} (|v|^2 + \Psi(x)) f(t, x, v) \, dv dx \leq C e^{CT} \mathcal{E}(0), \quad (2.4)$$

where the constant C might depend on r .

To conclude this section, we recall the following classical lemma, which will be used to derive L^p integrability of ϱ and j (see [4] for the proof):

Lemma 2.2. *Assume that f satisfies*

$$\|f\|_{L^\infty([0,T] \times \mathbb{R}^{2d})} \leq M, \quad \text{and} \quad \int_{\mathbb{R}^{2d}} |v|^2 f \, dv dx \leq M.$$

Then there exists a constant $C = C(M)$ such that

$$\begin{aligned} \|\rho\|_{L^\infty(0,T;L^p(\mathbb{R}^d))} &\leq C, & \text{for every } p \in [1, \frac{d+2}{d}), \\ \|j\|_{L^\infty(0,T;L^p(\mathbb{R}^d))} &\leq C, & \text{for every } p \in [1, \frac{d+2}{d+1}), \end{aligned} \quad (2.5)$$

where $\rho = \int f \, dv$ and $j = \int v f \, dv$.

2.1. The Velocity Averaging Lemma. When passing to the limit in (2.2), the main obstacle is to obtain compactness of the product $f\tilde{u}^r$. The instrument we will use to obtain this is the celebrated velocity averaging lemma. We will use the following version due to Perthame & Souganidis [7].

Proposition 2.3. *Let $\{f^n\}_n$ be bounded in $L^p_{loc}(\mathbb{R}^{2d+1})$ with $1 < p < \infty$, and $\{G^n\}_n$ be bounded in $L^p_{loc}(\mathbb{R}^{2d+1})$. If f^n and G^n satisfy*

$$f_t^n + v \cdot \nabla_x f^n = \nabla_v^k G^n, \quad f^n|_{t=0} = f^0 \in L^p(\mathbb{R}^{2d}),$$

for some multi-index k and $\varphi \in C_c^{[k]}(\mathbb{R}^{2d})$, then $\{\varrho_\varphi^n\}$ is relatively compact in $L^p_{loc}(\mathbb{R}^{d+1})$.

The previous proposition cannot be directly applied to obtain the needed compactness. In fact, we will rely on the following lemma which can be seen as a corollary of the previous proposition. The proof can be found in [4].

Lemma 2.4. *Let $\{f^n\}_n$ and $\{G^n\}_n$ be as in Proposition 2.3 and assume that*

$$f^n \text{ is bounded in } L^\infty(\mathbb{R}^{2d+1}),$$

$$(|v|^2 + \Psi)f^n \text{ is bounded in } L^\infty(0, T; L^1(\mathbb{R}^{2d+1})).$$

Then, for any $\varphi(v)$ such that $|\varphi(v)| \leq c|v|$ and $q < \frac{d+2}{d+1}$, the sequence

$$\left\{ \int_{\mathbb{R}^d} f^n \varphi(v) \, dv \right\}_n, \quad (2.6)$$

is relatively compact in $L^q((0, T) \times \mathbb{R}^d)$.

2.2. An important technical lemma. In view of Lemma 2.2 and 2.4, it is clear that in order to get convergence results for f^r and its moments, we will need to obtain some estimate on f^r that are uniform with respect to r . The main difficulty will be to show that the energy estimate (2.4) holds with constants independent on r (which does not obviously follow from the result of [4]). For this we will make use of the following technical lemma, which can be found in [4] (the proof is given below for completeness):

Lemma 2.5. *Assume that there exists $0 < R_1 < R_2 < \infty$ such that*

$$K(x) > 0 \text{ for } |x| \leq R_1, \quad K(x) = 0 \text{ for } |x| \geq R_2. \quad (2.7)$$

There exists a constant

$$C \sim \frac{\sup_{B_{R_2}} K}{\inf_{B_{R_1}} K} \left(\frac{R_2}{R_1} \right)^d \quad (2.8)$$

such that

$$\int_{\mathbb{R}^d} K(x-y) \frac{\rho(x)}{\int_{\mathbb{R}^d} K(x-z) \rho(z) \, dz} \, dx \leq C, \quad \forall y \in \mathbb{R}^d,$$

for all nonnegative functions $\rho \in L^1(\mathbb{R}^d)$.

The most important part of this lemma is the formula (2.8), which implies that if we replace the function K with $\alpha K(\beta x)$, for any $\alpha > 0$ and $\beta > 0$, then the same results holds with the same constant.

We deduce:

Corollary 2.6. *Assume that K^r is given by (1.4) where R satisfies (1.5). Then, there exists a constant C independent of r such that*

$$\int_{\mathbb{R}^d} K^r(x-y) \frac{\rho(x)}{\int_{\mathbb{R}^d} K^r(|x-z|)\rho(z) dz} dx \leq C, \quad \forall y \in \mathbb{R}^d$$

for all nonnegative functions $\rho \in L^1(\mathbb{R}^d)$.

Proof of Lemma 2.5. We recall that $\tilde{\rho}(x) = \int_{\mathbb{R}^d} K(x-z)\rho(z) dz$ and we note that

$$\int_{\mathbb{R}^d} K(x-y) \frac{\rho(x)}{\tilde{\rho}(x)} dx \leq (\sup K) \int_{B_{R_2}(y)} \frac{\rho(x)}{\tilde{\rho}(x)} dx.$$

Next, we cover $B_{R_2}(y)$ with balls of radius $R_1/2$: We have

$$B_{R_2}(y) \subset \bigcup_{i=1}^N B_{R_1/2}(x_i)$$

with $N \sim (R_2/R_1)^d$. We can thus write

$$\int_{\mathbb{R}^d} K(x-y) \frac{\rho(x)}{\tilde{\rho}(x)} dx \leq (\sup K) \sum_{i=1}^N \int_{B_{R_1/2}(x_i)} \frac{\rho(x)}{\tilde{\rho}(x)} dx.$$

Moreover, clearly,

$$\tilde{\rho}(x) = \int_{\mathbb{R}^d} K(x-z)\rho(z) dz \geq \int_{B_{R_1/2}(x_i)} K(x-z)\rho(z) dz.$$

By combining the two previous inequalities, we see that

$$\int_{\mathbb{R}^d} K(x-y) \frac{\rho(x)}{\tilde{\rho}(x)} dx \leq (\sup K) \sum_{i=1}^N \int_{B_{R_1/2}(x_i)} \frac{\rho(x)}{\int_{B_{R_1/2}(x_i)} K(x-z)\rho(z) dz} dx.$$

Now, using the fact that when $x, z \in B_{R_1/2}(x_i)$ we have $|x-z| \leq R_1$, we deduce

$$\begin{aligned} \int_{\mathbb{R}^d} K(x-y) \frac{\rho(x)}{\tilde{\rho}(x)} dx &\leq \frac{\sup K}{\inf_{B_{R_1}(0)} K} \sum_{i=1}^N \int_{B_{R_1/2}(x_i)} \frac{\rho(x)}{\int_{B_{R_1/2}(x_i)} \rho(z) dz} dx \\ &\leq \frac{\sup K}{\inf_{B_{R_1}(0)} K} N \leq C \frac{\sup K}{\inf_{B_{R_1}(0)} K} \left(\frac{R_2}{R_1} \right)^d \end{aligned}$$

and the proof is complete. \square

2.3. A priori estimate. We can now conclude this preliminary section by proving that f^r satisfies some a priori estimates uniformly with respect to r . We recall that the energy functional is defined

$$\mathcal{E}(t) = \int_{\mathbb{R}^{2d}} \left(\frac{|v|^2}{2} + \Psi(x) \right) f(t, x, v) dv dx. \quad (2.9)$$

We then prove:

Proposition 2.7 (Energy bound). *Let $0 \leq f_0 \in L^1(\mathbb{R}^{2d}) \cap L^\infty(\mathbb{R}^{2d})$ be given, let T be a finite final time, and let f be the corresponding weak solution of (1.1). There is a constant $C > 0$ independent of $r > 0$ such that*

$$\|f\|_{L^\infty(0,T;L^p(\mathbb{R}^{2d}))} \leq e^{\frac{CT}{p}} \|f_0\|_{L^p(\mathbb{R}^{2d})}, \quad (2.10)$$

and

$$\begin{aligned} \sup_{t \in (0, T)} \mathcal{E}(t) + \frac{1}{2} \int_{\mathbb{R}^{2d}} f |\tilde{u} - v|^2 \, dv dx \\ + \frac{1}{2} \int_{\mathbb{R}^{4d}} \Phi(x - y) f(x, v) f(y, w) |w - v|^2 \, dw dy dv dx \leq C(T) \mathcal{E}(0). \end{aligned} \quad (2.11)$$

The proof of Proposition 2.7 relies on two auxiliary results (Lemmas 2.8 and 2.9 below) which we will prove prior to proving the proposition. We begin with the L^p estimate (2.10):

Lemma 2.8. *Let f be a weak solution of (1.1). There is a constant C , independent of r , such that*

$$\sup_{t \in (0, T)} \|f\|_{L^p(\mathbb{R}^{2d})} \leq \|f_0\|_{L^p(\mathbb{R}^{2d})} e^{CT}. \quad (2.12)$$

Proof. Let $B(f)$ be a continuous function and let $b(f) = fB'(f) - B(f)$. By multiplying (1.1) with $B'(f)$ and integrating, we obtain

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}^{2d}} B(f) \, dv dx &= \int_{\mathbb{R}^{2d}} v \nabla_x b(f) \, dv dx \\ &\quad + \int_{\mathbb{R}^{2d}} (F(f) + L^r(f) - \nabla_x \Psi) \nabla_v b(f) \, dv dx \\ &= - \int_{\mathbb{R}^{2d}} b(f) (\operatorname{div}_v F(f) + \operatorname{div}_v L^r(f)) \, dv dx. \end{aligned} \quad (2.13)$$

Next, using the definition of the alignment terms, we see that

$$\begin{aligned} \operatorname{div}_v F[f] &= -d \int_{\mathbb{R}^{2d}} \Phi(x - y) f(y, w) \, dw dy, \\ \operatorname{div}_v L^r[f] &= -d \frac{\int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) \, dw dy}{\int_{\mathbb{R}^{2d}} K^r(x - y) f(y, w) \, dw dy} = -d. \end{aligned}$$

Setting these identities in (2.13), we find that

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}^{2d}} B(f) \, dv dx &= \int_{\mathbb{R}^{2d}} b(f) \left(d + d \int_{\mathbb{R}^{2d}} \Phi(x - y) f(y, w) \, dw dy \right) \, dv dx \\ &\leq \int_{\mathbb{R}^{2d}} b(f) (d + dM \|\Phi\|_{L^\infty(\mathbb{R}^{2d})}) \, dv dx, \end{aligned}$$

where M is the total mass. Next, we let $B(f) = f^p$ such that $b(f) = (p-1)f^p$. An application of the Gronwall inequality then provides the bound

$$\sup_{t \in (0, T)} \|f\|_{L^p(\mathbb{R}^{2d})} \leq \|f_0\|_{L^p(\mathbb{R}^{2d})} e^{\frac{p-1}{p} CT},$$

which is what we set out to prove. □

The main difficulty in proving the energy estimate (2.11) (even for $r > 0$) is to control the non-symmetric Motsch-Tadmor alignment term. This is the goal of the following Lemma, which relies on Lemma 2.5:

Lemma 2.9. *There is a constant C , independent of r , such that*

$$\int_{\mathbb{R}^{2d}} f v L^r[f] \, dv dx \leq C \mathcal{E}(t) - \frac{1}{2} \int_{\mathbb{R}^{2d}} f |\tilde{u}^r - v|^2 \, dv dx. \quad (2.14)$$

Proof. By definition of L^r , we have that

$$\begin{aligned} L^r[f] &= \frac{1}{\tilde{\varrho}(x)} \int_{\mathbb{R}^{2d}} K^r(x-y) f(y, w) (w-v) \, dw dy \\ &= \frac{1}{\tilde{\varrho}(x)} \int_{\mathbb{R}^d} K^r(x-y) (j(y) - \varrho(y)v) \, dy = \frac{\tilde{j}}{\tilde{\varrho}} - v := \tilde{u} - v. \end{aligned} \quad (2.15)$$

By adding and subtracting, we obtain

$$\begin{aligned} \int_{\mathbb{R}^{2d}} f v L^r[f] \, dv dx &= \int_{\mathbb{R}^{2d}} f (\tilde{u} - v) v \, dv dx \\ &= -\frac{1}{2} \int_{\mathbb{R}^{2d}} f (\tilde{u} - v)^2 \, dv dx + \frac{1}{2} \int_{\mathbb{R}^{2d}} f \tilde{u}^2 - f v^2 \, dv dx \\ &\leq -\frac{1}{2} \int_{\mathbb{R}^{2d}} f (\tilde{u} - v)^2 \, dv dx + \frac{1}{2} \int_{\mathbb{R}^d} \varrho \tilde{u}^2 \, dx. \end{aligned} \quad (2.16)$$

From the Hölder inequality, we have that

$$\tilde{\varrho} \tilde{u} := \int_{\mathbb{R}^{2d}} K^r(x-y) f(y, v) v \, dv dy \leq \tilde{\varrho}^{\frac{1}{2}} \left(\int_{\mathbb{R}^{2d}} K^r(x-y) f(y, v) v^2 \, dv dy \right)^{\frac{1}{2}}.$$

Hence, the following inequality holds

$$\tilde{\varrho} \tilde{u}^2 \leq \int_{\mathbb{R}^{2d}} K^r(x-y) f(y, v) v^2 \, dv dy,$$

from which we deduce

$$\begin{aligned} \int_{\mathbb{R}^d} \varrho \tilde{u}^2 \, dx &\leq \int_{\mathbb{R}^{3d}} K^r(x-y) \frac{\varrho(x)}{\tilde{\varrho}(x)} f(y, v) v^2 \, dy dv dx \\ &\leq \sup_y \left(\int_{\mathbb{R}} K^r(x-y) \frac{\varrho(x)}{\tilde{\varrho}(x)} \, dx \right) \mathcal{E}(t) \leq C \mathcal{E}(t), \end{aligned} \quad (2.17)$$

where the last inequality follows from Lemma 2.5. Inserting (2.17) in (2.16) concludes the proof. \square

We have now gathered all the ingredients we need to prove Proposition 2.7.

Proof of Proposition 2.7. Only (2.11) remains to be proved. By direct calculation,

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}^{2d}} f \Psi + f \frac{|v|^2}{2} \, dv dx &= \int_{\mathbb{R}^{2d}} f_t \Psi + f_t \frac{|v|^2}{2} \, dv dx \\ &= \int_{\mathbb{R}^{2d}} v f \nabla_x \Psi - v f \nabla_x \Psi + f v F[f] + f v L^r[f] \, dv dx. \end{aligned} \quad (2.18)$$

Using the symmetry of K , we write

$$\begin{aligned} \int_{\mathbb{R}^{2d}} f v F[f] \, dv dx &= \int_{\mathbb{R}^{4d}} \Phi(x-y) f(x, v) f(y, w) (w-v) v \, dw dy dv dx \\ &= \int_{\mathbb{R}^{4d}} \Phi(x-y) f(x, v) f(y, w) (v-w) w \, dw dy dv dx \\ &= -\frac{1}{2} \int_{\mathbb{R}^{4d}} \Phi(x-y) f(x, v) f(y, w) |w-v|^2 \, dw dy dv dx. \end{aligned}$$

Then, we conclude the proof by applying this identity and Lemma 2.9 to (2.18). \square

3. CONVERGENCE AND PROOF OF THEOREM 1.1

Equipped with the bounds of the previous section, we are ready to send $r \rightarrow 0$ in (1.1) and thereby proving Theorem 1.1. For this purpose, we let $\{r^n\}_n$ be a sequence of positive numbers such that $r^n \rightarrow 0$ as $n \rightarrow \infty$ and consider the corresponding solutions f^n of

$$f_t^n + \operatorname{div}_x(v f^n) - \operatorname{div}_v(f^n \nabla_x \Psi) + \operatorname{div}_v(f^n F[f^n]) + \operatorname{div}_v(f^n(\tilde{u}^n - v)) = 0, \quad (3.1)$$

where we recall the notation

$$\tilde{u}^n = \frac{\tilde{j}^n}{\tilde{\varrho}^n} := \frac{\int_{\mathbb{R}^{2d}} K^{r^n}(x-y) f^n(y, w) w \, dw dy}{\int_{\mathbb{R}^{2d}} K^{r^n}(x-y) f^n(y, w) \, dy dw}.$$

Our starting point is that Lemma 2.8, Proposition 2.7, together with Lemma 2.2, asserts the existence of a function $0 \leq f \in C(0, T; L^1(\mathbb{R}^{2d})) \cap L^\infty(0, T; L^\infty(\mathbb{R}^{2d}))$, such that, as $n \rightarrow \infty$,

$$\begin{aligned} f^n &\xrightarrow{*} f \quad \text{in } L^\infty(0, T; L^\infty(\mathbb{R}^{2d}) \cap L^1(\mathbb{R}^{2d})), \\ \varrho^n &\xrightarrow{*} \varrho \quad \text{in } L^\infty((0, T); L^p(\mathbb{R}^d)), \quad \text{for every } p \in \left[1, \frac{d+2}{d}\right), \\ j^n &\xrightarrow{*} j \quad \text{in } L^\infty((0, T); L^p(\mathbb{R}^d)), \quad \text{for every } p \in \left[1, \frac{d+2}{d+1}\right). \end{aligned} \quad (3.2)$$

Moreover, the velocity averaging Lemma 2.4 is applicable. By setting $\varphi(v) = 1$ and $\varphi(v) = v$ in Lemma 2.4 we obtain respectively

$$\begin{aligned} \varrho^n &\rightarrow \varrho \quad \text{in } L^q((0, T) \times \mathbb{R}^d), \quad \text{for every } q < \frac{d+2}{d+1}, \\ j^n &\rightarrow j \quad \text{in } L^q((0, T) \times \mathbb{R}^d), \quad \text{for every } q < \frac{d+2}{d+1}, \end{aligned} \quad (3.3)$$

along some subsequence as $n \rightarrow \infty$. Furthermore, we can prove:

Lemma 3.1. *Given the convergences (3.2) - (3.3), we have*

$$\tilde{j}^n \rightarrow j, \quad \tilde{\varrho}^n \rightarrow \varrho, \quad \text{in } L^q((0, T) \times \mathbb{R}^d), \quad (3.4)$$

where the convergence takes place along the same subsequence as in (3.3).

Proof. We commence by recalling the following classical results concerning mollifiers like $K^n = K^{r^n}$: For any $\epsilon > 0$, there is a m such that

$$\|K^n \star \varrho - \varrho\|_{L^q(\mathbb{R}^d)} < \epsilon, \quad \forall n \geq m.$$

Now, consider a subsequence n^k , where $n^k \geq m$, along which $\varrho^n \rightarrow \varrho$. By adding and subtracting, we obtain

$$\begin{aligned} \|\tilde{\varrho}^n - \varrho\|_{L^q(\mathbb{R}^d)} &\leq \|\tilde{\varrho}^n - K^n \star \varrho\|_{L^q(\mathbb{R}^d)} + \|K^n \star \varrho - \varrho\|_{L^q(\mathbb{R}^d)} \\ &= \|K^n \star (\varrho^n - \varrho)\|_{L^q(\mathbb{R}^d)} + \|K^n \star \varrho - \varrho\|_{L^q(\mathbb{R}^d)} \\ &\leq \|\varrho^n - \varrho\|_{L^q(\mathbb{R}^d)} + \epsilon = 2\epsilon, \end{aligned}$$

for any $q < \frac{d+2}{d+1}$. The same argument can be applied to prove compactness of \tilde{j}^n , which concludes the proof. \square

Lemma 3.2. *From the convergences (3.2) - (3.3), it follows that*

$$f^n \tilde{u}^n \xrightarrow{*} f u \quad \text{in } L^\infty((0, T); L^p(\mathbb{R}^d)) \quad \text{for every } p \in \left[1, \frac{d+2}{d+1}\right).$$

Proof. For the sake of clarity, let us introduce the notation

$$\varrho_\varphi^n = \int_{\mathbb{R}^d} f^n \varphi(v) \, dv, \quad \tilde{m}_\varphi^n = \tilde{u}^n \varrho_\varphi^n.$$

For any smooth function $\psi(x, v) := \phi(x)\varphi(v)$, we write

$$\begin{aligned} \int_{\mathbb{R}^{2d}} f^n \tilde{u}^n \psi \, dv dx &= \int_{\mathbb{R}^d} \tilde{u}^n \phi(x) \left(\int_{\mathbb{R}^d} f^n \varphi(v) \, dv \right) dx \\ &= \int_{\mathbb{R}^d} \tilde{u}^n \varrho_\varphi^n \phi \, dx = \int_{\mathbb{R}^d} \tilde{m}_\varphi^n \phi \, dx. \end{aligned} \quad (3.5)$$

Now, using the Hölder inequality, we find that

$$\begin{aligned} \|\tilde{m}_\varphi^n\|_{L^q(\mathbb{R}^d)} &\leq \|\varphi\|_{L^\infty(\mathbb{R}^d)} \|\varrho^n\|_{L^{\frac{q}{2-q}}(\mathbb{R}^d)}^{\frac{1}{2}} \|(\varrho^n)^{\frac{1}{2}} \tilde{u}^n\|_{L^2(\mathbb{R}^d)} \\ &\leq C \|\varrho^n\|_{L^p(\mathbb{R}^d)}^{\frac{1}{2}} \|(\varrho^n)^{\frac{1}{2}} \tilde{u}^n\|_{L^2(\mathbb{R}^d)}, \end{aligned} \quad (3.6)$$

which is bounded by (2.17) and Lemma 2.2 provided

$$p < \frac{d+2}{d} \quad \Rightarrow \quad q < \frac{d+2}{d+1}.$$

Hence, there exists a function $m \in L^\infty((0, T); L^q(\mathbb{R}^d))$ and a subsequence such that

$$\tilde{m}_\varphi^n \xrightarrow{*} m \text{ in } L^\infty((0, T); L^p(\mathbb{R}^d)), \quad \text{for every } p \in \left[1, \frac{d+2}{d+1}\right),$$

and it only remains to prove that

$$m = u \varrho_\varphi, \quad \text{where } u \text{ is such that } j = \varrho u.$$

Let us first verify the existence of such a function u . Consider the set

$$A_R = \{(t, x) \in B_R(0) \times (0, T); \varrho(t, x) = 0\},$$

where $B_R(0)$ is the ball of radius R centered at 0. By direct calculation,

$$\begin{aligned} \int_{A_R} |j_n| \, dx dt &\leq \left(\int_{A_R} \varrho^n |u^n|^2 \, dx dt \right)^{\frac{1}{2}} \left(\int_{A_R} \varrho^n \, dx dt \right) \\ &\leq CT \left(\int_{A_R} \varrho^n \, dx dt \right) \xrightarrow{n \rightarrow \infty} 0, \end{aligned}$$

and hence we have that $j = 0$ a.e in A^R . If we define the function u as

$$u(t, x) = \begin{cases} \frac{j(t, x)}{\varrho(t, x)}, & \text{if } \varrho(t, x) \neq 0, \\ 0, & \text{if } \varrho(t, x) = 0, \end{cases} \quad (3.7)$$

we have that $j = \varrho u$ and it remains to prove that $m = \varrho_\psi u$. To this aim, we first observe that we can deduce as in (3.6) that

$$\|m_\varphi^n\|_{L^p(A_R)} \leq C \|\varrho^n\|_{L^p(A_R)}^{\frac{1}{2}} \xrightarrow{n \rightarrow \infty} 0,$$

and hence it suffices to check that

$$m(t, x) = u(t, x) \varrho_\varphi(t, x), \quad \text{whenever } \varrho(t, x) \neq 0.$$

For this purpose, we consider the set

$$B_R^\epsilon = \{(t, x) \in B_R(0) \times (0, T); \varrho(t, x) > \epsilon\}.$$

From Egorov's theorem and the compactness of ϱ^n and $\tilde{\varrho}^n$ (Lemma 3.1), we have the existence of a set $C_\eta \subset B_R^\epsilon$ with measure $|B_R^\epsilon \setminus C_\eta| < \eta$ on which $\tilde{\varrho}^n$ and ϱ^n converge uniformly to ϱ . Then, for n sufficiently large,

$$\tilde{\varrho}^n \geq \epsilon/2 \quad \text{in } C_\eta,$$

and since

$$m_\varphi^n = \tilde{u}^n \varrho_\varphi^n = \frac{\tilde{j}^n}{\varrho^n} \varrho_\varphi^n,$$

we can pass to the limit on C_η to deduce

$$m = \frac{j}{\varrho} \varrho_\varphi = u \varrho_\varphi \quad \text{in } C_\eta.$$

Since this holds for all $\eta > 0$, we can conclude

$$m = u \varrho_\varphi \quad \text{in } B_R^\epsilon,$$

for every R and ϵ . We conclude that,

$$m = u \varrho_\varphi \quad \text{on } \{\varrho > 0\}.$$

□

Proof of Theorem 1.1: The weak formulation of (3.1) reads

$$\begin{aligned} & \int_0^T \int_{\mathbb{R}^{2d}} f^n(\psi_t + v \cdot \nabla_x \psi - \nabla_x \Psi \nabla_v \psi) \, dv dx dt \\ & := I_1^n + I_2^n - \int_{\mathbb{R}^{2d}} f_0^n \psi(0, \cdot) \, dv dx, \quad \forall \psi \in C_c^\infty((0, T) \times \mathbb{R}^{2d}), \end{aligned} \quad (3.8)$$

where we have introduced the quantities

$$\begin{aligned} I_1^n &= - \int_0^T \int_{\mathbb{R}^{4d}} \Phi(x - y) f^n(x, v) f^n(y, w) (w - v) \nabla_v \psi(x, v) \, dw dy dv dx dt, \\ I_2^n &= - \int_0^T \int_{\mathbb{R}^{2d}} f^n(\tilde{u}^n - v) \nabla_v \psi \, dv dx dt. \end{aligned}$$

By virtue of (3.2), we can pass to the limit in (3.8) to conclude

$$\begin{aligned} & \int_0^T \int_{\mathbb{R}^{2d}} f(\psi_t + v \cdot \nabla_x \psi - \nabla_x \Psi \nabla_v \psi) \, dv dx dt \\ & := I_1 + \lim_{n \rightarrow \infty} I_2^n - \int_{\mathbb{R}^{2d}} f_0 \psi(0, \cdot) \, dv dx, \end{aligned} \quad (3.9)$$

where $I_1 = - \int_0^T \int_{\mathbb{R}^{4d}} \Phi(x - y) f(x, v) f(y, w) (w - v) \nabla_v \psi(x, v) \, dw dy dv dx dt$.

From Lemma 3.2, we have that $f^n \tilde{u}^n \xrightarrow{*} f u$ in $L^\infty((0, T); L^q(\mathbb{R}^{2d}))$, for any $q < \frac{d+2}{d+1}$, and hence there is no problems with passing to the limit in I_2^n to discover

$$\lim_{n \rightarrow \infty} I_2^n = - \lim_{n \rightarrow \infty} \int_{\mathbb{R}^{2d}} f^n(\tilde{u}^n - v) \nabla_v \psi \, dv dx = - \int_{\mathbb{R}^{2d}} f(u - v) \nabla_v \psi \, dv dx.$$

By setting this in (3.9) and recalling that Ψ is arbitrary, we conclude that the limit f is a weak solution to

$$f_t + \operatorname{div}_x(vf) - \operatorname{div}_v(f \nabla_x \Psi) + \operatorname{div}_v(f F[f]) + \operatorname{div}_v(f(u - v)) = 0.$$

This concludes the proof of Theorem 1.1.

□

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